



# Retirement Account

Account Number: 1701-2493  
4th Quarter 2019 Statement

Values as of December 31, 2019



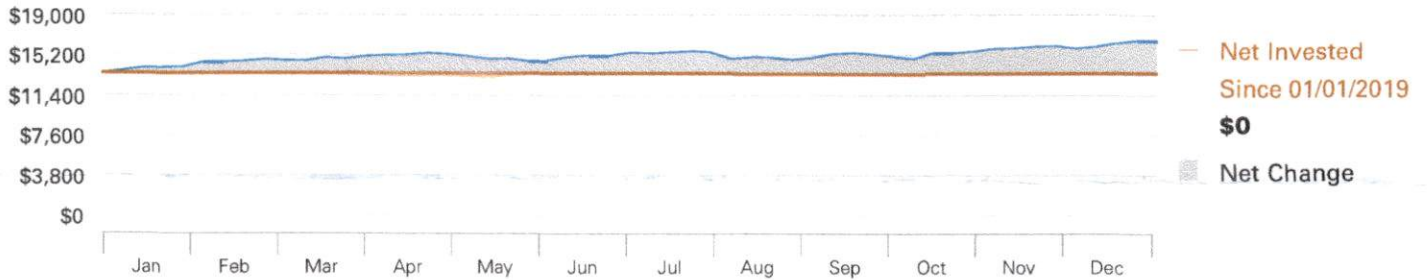
0043532 03 SP 0.560 03 00314 LPDMD109 8XPK D9NA 000110  
PTC CUST ROLLOVER IRA FBO  
WALLACE M HARDIN III  
5743 FORREST RD  
STONE MTN GA 30087-2715



**Investment Objective**  
**Growth**

**Your Investment Executive: Robert Jones**  
(678)232-9688  
6340 Sugarloaf Pkwy Ste 200  
Duluth, GA 30097

Value on January 1, 2019 <b>\$13,432.26</b>	Value as of last statement 11/30/2019 <b>\$16,046.11</b>	Value on December 31, 2019 <b>\$16,556.66</b>
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## Account Summary

	4th Quarter 10/01 - 12/31/2019	Year to Date 01/01 - 12/31/2019
<b>Starting Value</b>	<b>\$15,177.90</b>	<b>\$13,432.26</b>
<b>Total Change in Value of Assets</b>	<b>\$1,378.76</b>	<b>\$3,124.40</b>
Inflows	—	—
Outflows	—	—
Dividends, Interest, Capital Gains	\$423.75	\$631.77
Fees / Expenses	—	(\$40.00)
Increase / Decrease in Market Value <sup>1</sup>	\$955.01	\$2,532.63
<b>Total Ending Value (December 31, 2019)</b>	<b>\$16,556.66</b>	<b>\$16,556.66</b>

## Purchasing Power

Available Cash (December 31, 2019)	<b>\$1,596.58</b>
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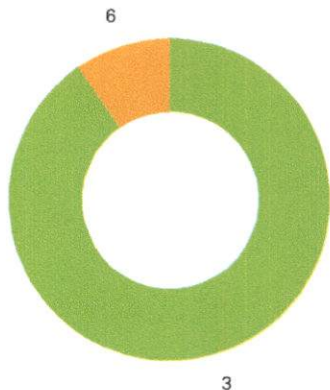
<sup>1</sup> Increase/decrease in Market Value reflects the impact of changes in the value of securities held in your LPL Financial account, as well as the impact of any transfers of securities into or out of your account during the statement period.



Retirement Summary

	2019
Retirement Contributions applied to year...	—
Retirement Distributions applied to year...	—
Annual Maintenance fee due February 29, 2020	<b>\$40.00</b>

Asset Allocation *As of 12/31/2019*



1.	Equities & Options	—	—
2.	Fixed Income	—	—
3.	ETPs, Mutual, Closed-End & Interval Funds	90.36%	\$14,960.08
4.	Alternative Investments	—	—
5.	Annuities	—	—
6.	Cash & Cash Equivalents	9.64%	\$1,596.58
7.	Other	—	—
<b>Total Ending Value</b>		<b>100.00%</b>	<b>\$16,556.66</b>





Account Holdings As of December 31, 2019

Cash and Cash Equivalents

Description	Interest / Dividend Paid in December	Interest / Dividend Rate <sup>3</sup>	Current Balance
Insured Cash Account <sup>4</sup>			
Wells Fargo Bank NA			\$332.75
Goldman Sachs Bank USA			\$65.92
Total Insured Cash Account	—	N/A	\$398.67
Money Market Funds			
JPMorgan U.S. Government Money Market Fund - Service Shares	\$0.64	0.623%	\$1,197.91
Total Money Market Funds			\$1,197.91
<b>Total Cash and Cash Equivalents</b>			<b>\$1,596.58</b>

ETPs, Mutual Funds, Exchange-Traded Closed-End Funds and Interval Funds

Security ID / Description	Quantity	Price (\$)	Market Value (\$)	Estimated Annual Income (\$) <sup>a</sup>
				Est 30-Day Yield <sup>a</sup>
<b>CWGIX</b> CAPITAL WORLD GROWTH & INCOME CL A <sup>R</sup>	169.819	\$52.28	8,878.13	180 2.04%
<b>AFICX</b> FUNDAMENTAL INVESTORS CL C <sup>C</sup>	98.637	\$61.66	6,081.95	54 0.90%
<b>Total of ETPs, Mutual Funds, Exchange-Traded Closed-End Funds and Interval Funds</b>			<b>14,960.08</b>	<b>234</b>

<sup>R</sup> Dividends and/or capital gains distributed by this security will be reinvested.  
<sup>C</sup> Dividends and/or capital gains distributed by this security will be distributed as cash.

Total Account Holdings	Market Value (\$)	Estimated Annual Income (\$) <sup>a</sup>
	<b>16,556.66</b>	<b>234</b>

<sup>3</sup> Bank Deposit Sweep interest is paid on daily balances at a rate reflecting the weighted average rate during the statement month. Money Market Sweep dividend is a 30-day yield.  
<sup>4</sup> Bank Deposit Sweep Accounts are FDIC insured, are not obligations of LPL Financial or SIPC, and are not available for margin purposes. See message section for further information.  
<sup>a</sup> Refer to the statement message titled ESTIMATED ANNUAL INCOME (EAI) AND ESTIMATED YIELD (EY) for information on how this figure is calculated.



## Cash Activity Summary

	Since last statement 12/01 - 12/31/2019	4th Quarter 10/01 - 12/31/2019	Year to Date 01/01 - 12/31/2019
Securities Purchased	—	—	—
Securities Sold	—	—	—
Cash Inflows	—	—	—
Cash Outflows	—	—	—
Dividends, Interest, & Capital Gains	\$422.18	\$423.75	\$631.77
Fees / Expenses <sup>5</sup>	—	—	(\$40.00)

## Account Activity December 1 - December 31, 2019 (Since last statement)

Date	Transaction Type	Description/Security ID	Price(\$) Quantity	Amount
12/18/2019	Long-Term Capital Gain	CAPITAL WORLD GROWTH & INCOME CL A 121819 168.10100 CWGIX	— —	\$66.94
12/18/2019	Cash Dividend	CAPITAL WORLD GROWTH & INCOME CL A 121819 168.10100 CWGIX	— —	\$21.85
12/18/2019	LT Capital Gain Reinvest	CAPITAL WORLD GROWTH & INCOME CL A REINVEST AT 51.710 CWGIX	— 1.295	(\$66.94)
12/18/2019	Dividend Reinvest	CAPITAL WORLD GROWTH & INCOME CL A REINVEST AT 51.710 CWGIX	— 0.423	(\$21.85)
12/23/2019	Long-Term Capital Gain	FUNDAMENTAL INVESTORS CL C 122319 98.63700 AFICX	— —	\$301.14
12/23/2019	Cash Dividend	FUNDAMENTAL INVESTORS CL C 122319 98.63700 AFICX	— —	\$31.61
12/24/2019	Sweep (Deposit) <sup>6</sup>	INSURED CASH ACCOUNT	— —	\$332.75
12/31/2019	Cash Dividend	JPMORGAN U S GOVERNMENT MONEY MARKET FUND SERVICE SHARES 123119 1,197	— —	\$0.64
12/31/2019	Sweep (Dividend Reinvest) <sup>6</sup>	JPMORGAN U S GOVERNMENT	— —	\$0.64

<sup>5</sup> Fees and expenses include account, custodial and advisory fees assessed during the statement period.

<sup>6</sup> Bank Deposit and Money Market Sweep transactions reflect the net of all transfers of free cash balance to and from your sweep on the date referenced.